

FORM PTO-1449 U.S. Department of Commerce Patent and Trademark Office		Attorney Docket Number 5670-7 DV	Serial No. 09/234,085 <i>To be assigned</i>
LIST OF DOCUMENTS CITED BY APPLICANT  (Use several sheets if necessary)		Applicants: Tsun, et al.	
		Filing Date: <u>14/9/95</u> <i>Concurrently herewith</i>	Group <u>2756</u>

## U. S. PATENT DOCUMENTS

Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
<i>GO</i>	1	4,545,011	10/1/85	Lyon et al.			
	2	5,049,873	9/17/91	Robins et al.			
	3	5,107,450	4/21/92	Lawrenz			
	4	5,257,393	10/26/93	Miller			
	5	5,634,009	5/27/97	Iddon et al.			
	6	5,572,640	11/5/96	Schettler			
	7	5,706,436	1/6/98	Lewis et al.			
	8	5,732,213	3/24/98	Gessel et al.			
	9	5,764,915	6/9/98	Heimsoth et al.			
	10	5,809,286	9/15/98	McLain, Jr. et al.			

## FOREIGN PATENT DOCUMENTS

		Document Number	Date	Country	Class	Subclass	Translation Yes   No

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

<i>GO</i>	11	Butterfield, "System Performance Monitor/2 Reference," International Business Machines Corporation (1991)
	12	Brochure, "The DA-30® family of internetwork analyzers", Wandel & Goltermann (1994)
	13	Brochure, "DA-30C benchmarking Capabilities", Wandel & Goltermann (1995)
	14	Brochure, "Vital Signs VisionNet", BlueLine Software, Inc., including inserts "LAN Agent", "VTAM Agent", "NCPL Agent" (1995)
	15	Brochure, "Vital Signs VisionNet (1995)
	16	Brochure, "SmartBits: Switch testing in its simplest form...", Netcom Systems, Inc. (1995)
	17	Brochure, "EconNET™: Networked Applications Performance Management", Compuware Corporation (1995)
	18	Brochure, "Hot off the Shelf: Application Management, Data Communications (January 1996)
<i>GO</i>	19	Brochure, "10 Reasons Why You Need an Applications View of Your Network," Compuware Corporation (1/9/96)

EXAMINER  
\*EXAMINER*George L. OPie*

DATE CONSIDERED

*8/17/05*

Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<b>FORM PTO-1449 U.S. Department of Commerce Patent and Trademark Office</b>  <b>LIST OF DOCUMENTS CITED BY APPLICANT</b>  (Use several sheets if necessary)			<b>Attorney Docket Number</b> 5670-7DV	<b>Serial No.</b> <u>09/234,085</u> <b>To be assigned</b>
			<b>Applicants:</b> Tsun, et al.	
			<b>Filing Date :</b> <u>11/9/99</u> <u>Concurrently herewith</u>	<b>Group</b> <u>2756</u>
<u>GO</u>	20	Brochure, "Network General Corporation: Products and Services", Network General Corporation (1995)		
	21	Brochure, "ProView: Network Performance Management Systems", Network Telemetrics, Inc. (1995)		
	22	Brochure, "Managing the User Environment across Integrated SNA and IP Networks", Network Telemetrics, Inc. (1995)		
	23	Brochure, "Using Performance Baselines to Manage Network Service Levels", Williamson, W., Network Telemetrics, Inc. (1995)		
	24	Brochure, "Standard Based Distributed Diagnostics for Enterprise Networks", Frontier Software Development, Inc. (September 1995)		
	25	Brochure, "QualityWorks – The most complete client/server testing solution you can buy", Segue Software Products (1996)		
	26	Brochure, "LANQuest: Net/WRx", LANQuest (9/12/95)		
	27	Brochure, "NETBENCH(R) 3.01 FREQUENTLY ASKED QUESTIONS", Ziff-Davis Benchmark Operation		
	28	Brochure, "EcoNET: The View from the Top, Hurwitz Consulting Group, Inc. (1995)		
	29	Brochure, "Visual OnRamp™ Managed Internet Access Device" (1/96)		
	30	Brochure, "FirstSense"		
	31	Brochure "NextPoint"		
	32	Brochure, "NextPoint Frame Relay™"		
	33	Brochure, "VeriServ™," Response Networks, Inc.		
	34	Brochure, "Service Management Architecture Product Overview," Jyra Research, Inc.		
	35	Brochure, "Optimal Application Expert™" Optical Networks Corp. (1997)		
<u>GO</u>	36	Article, Sobel, Ken; "Compuware's EcoSCOPE"; Hurwitz Group, Inc. (9/97)		

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8/17/05

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Patent and Trademark Office**

Attorney Docket Number  
5670-7DV

Serial No.  
09/234,083

To be  
assumed

**LIST OF DOCUMENTS CITED BY APPLICANT**

(Use several sheets if necessary)

Applicants: Tsun et al.

Filing Date: *Concurrent with Jan 19, 1999*

Group  
2126

**U. S. PATENT DOCUMENTS**

Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
<i>GD</i>	1.	5,655,081	8/5/97	Bonnell et al.	395	200.32	
	2.	5,829,001	10/27/98	Li et al.	707	10	
	3.	5,978,594	11/2/99	Bonnell et al.	395	837	
	4.	5,986,653	11/16/99	Phathayakorn et al.	345	348	
	5.	5,999,178	12/7/99	Hwang et al.	345	348	
	6.	6,078,324	6/20/00	Phathayakorn et al.	345	348	

**FOREIGN PATENT DOCUMENTS**

		Document Number	Date	Country	Class	Subclass	Translation Yes   No

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**


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Substitute form 1449A/PTO				<i>Complete if Known</i>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>				Application Number	To Be Assigned
				Filing Date	Concurrently Herewith
				First Named Inventor	William Tsun
				Group Art Unit	Unknown
				Examiner Name	Unknown
Sheet	1	of	1	Attorney Docket Number	5670-7DV

U.S. PATENTS AND PATENT PUBLICATIONS					
Examiner Initials*	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY
		Number	Kind Code (if known)		
GD	1.	US-6,223,344		Gerard et al.	4/24/2001
	2.	US-6,125,366		Bernstein et al.	9/26/2000
	3.	US-5,933,646		Hendrickson et al.	8/3/1999
	4.	US-5,931,919		Thomas et al.	8/3/1999
	5.	US-5,734,898		He	3/31/1998
	6.	US-5,765,174		Bishop	6/9/1998
GD	7.	US-5,615,362		Jensen et al.	3/25/1995
		US-			

**Examiner Signature**

George L. Opie

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**Date Considered**

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